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10/560,026	12/08/2005	Naoki Ode	450100-05118	8331

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EXAMINER

BRYANT, DOUGLAS J.

ART UNIT	PAPER NUMBER
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4123

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/560,026	Applicant(s) ODE, NAOKI	
	Examiner DOUGLAS BRYANT	Art Unit 4123	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 December 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 08 December 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>12/08/2005, 1/11/2008</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Construction

Claims 16-20 are being construed under 35 U.S.C 112, sixth paragraph for properly being invoked.

Specification

The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 16-20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. There is no further corresponding discussion determining the specifics of the means-plus-function within the specification.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-20 are rejected under 35 U.S.C. 101 as not falling within one of the four statutory categories of invention.

Regarding claims 1-5, the claim is directed towards a conflict management program. Since computer programs are not of any process, machine, manufacture or composition of matter, it fails to fall within a statutory category and thus non-statutory.

Regarding claims 6-10, the claim is directed to a "storage medium" or machine, but fails to disclose physical "things". Since the phrase storage medium is recited only in the preamble, and the body of the claim only recites software elements, the claim is interpreted as directed to a combination of software elements. Since the invention is relying on the instructions, and the instructions outline a method, than the method must either (1) transform a particular article to a different state or thing or (2) be tied to another statutory category (See *In re Bilski*, 545 F.3d 943, 88 USPQ2d 1385 (Fed Cir. 2008)).

Regarding claims 11-15, are rejected under 35 U.S.C. 101 as not falling within one of the four statutory categories of invention. While the claims recite a series of steps or acts to be performed, a statutory "process" under 35 U.S.C. 101 must (1) be tied to a particular machine or apparatus or (2) transform a particular article to a different state or thing (See *In re Bilski*, 545 F.3d 943, 88 USPQ2d 1385 (Fed Cir. 2008)). The instant claims neither transform a particular article nor positively tie to a particular machine or apparatus that accomplishes the claimed method steps, and therefore do not qualify as a statutory process.

Regarding claims 16-20, are rejected under U.S.C. 101 because the claim invention are directed towards an arrangement comprising means for receiving a task execution request, means for registering an active task in a active list, means for

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detecting a task conflict, means for determining the state of a task and means for placing the task in the active task list. These “means” are all software modules/functions and an arrangement of such software is directed to non-statutory subject matter since they are not of any process, machine, manufacture or composition of matter, thus they fail to fall within a statutory category and thus non-statutory.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-2, 6-7, 11-12, and 16-17 are rejected under 35 U.S.C. 102 (b) as being anticipated by Shitahaku (Shitahaku) US 20020037753 A1.

With respects to claim 1, Shitahaku teaches a computer-readable conflict management program that is to be executed by a computer, the conflict management program comprising the steps of: receiving a task execution request (Para 38, lines 1-2); registering an active task in an active task list (Para 38, lines 2-3); detecting for a task conflict by referencing the active task list when the task execution request is received in the execution request reception step (Para 43, lines 1-4); determining the state to which a task designated by the task execution request should switch and the state to which a task registered in the active task list should switch in accordance with predetermined conditions when a task conflict is detected in the conflict detection step

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(Para 43, lines 5-9); and respectively placing the task designated by the task execution request and the task registered in the active task list in the states determined in the transition state determination step (Para 44, lines 1-5).

With respects to claim 2, Shitahaku teaches the computer-readable conflict management program according to claim 1, wherein the transition state determination step comprises: referencing, when a task conflict is detected in the conflict detection step, a conflict condition table that stores states to which conflicting tasks should switch (see figures 6, 7 & 12); and determining respectively the state to which the task designated by the task execution request should switch and the state to which the task registered in the active task list should switch (see figures 5 & 9).

With respects to claim 6, Shitahaku teaches, a storage medium for storing a computer-readable conflict management program that is to be executed by a computer and causing the computer to perform the steps of: receiving a task execution request (Para 38, lines 1-2); registering an active task in an active task list (Para 38, lines 2-3); detecting for a task conflict by referencing the active task list when the task execution request is received in the execution request reception step (Para 43, lines 1-4); determining the state to which a task designated by the task execution request should switch and the state to which a task registered in the active task list should switch in accordance with predetermined conditions when a task conflict is detected in the conflict detection step (Para 43, lines 5-9); and respectively placing the task designated by the task execution request and the task registered in the active task list in the states determined in the transition state determination step (Para 44, lines 1-5).

With respects to claim 7, Shitahaku teaches the storage medium according to claim 6, wherein the transition state determination step comprises: referencing, when a task conflict is detected in the conflict detection step, a conflict condition table that stores states to which conflicting tasks should switch (see figures 6, 7 & 12); and determining respectively the state to which the task designated by the task execution request should switch and the state to which the task registered in the active task list should switch (see figures 5 & 9).

With respects to claim 11, Shitahaku teaches a conflict management method comprising the steps of: receiving a task execution request (Para 38, lines 1-2); registering an active task in an active task list (Para 38, lines 2-3); detecting for a task conflict by referencing the active task list when the task execution request is received in the execution request reception step (Para 43, lines 1-4); determining the state to which a task designated by the task execution request should switch and the state to which a task registered in the active task list should switch in accordance with predetermined conditions when a task conflict is detected in the conflict detection step (Para 43, lines 5-9); and respectively placing the task designated by the task execution request and the task registered in the active task list in the states determined in the transition state determination step (Para 44, lines 1-5).

With respects to claim 12, Shitahaku teaches the conflict management method according to claim 11, wherein the transition state determination step comprises: referencing, when a task conflict is detected in the conflict detection step, a conflict condition table that stores states to which conflicting tasks should switch (see figures 6,

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7 & 12); and determining respectively the state to which the task designated by the task execution request should switch and the state to which the task registered in the active task list should switch (see figures 5 & 9).

With respects to claim 16, Shitahaku teaches. an electronic apparatus comprising: execution request receiver means for receiving a task execution request (Para 38, lines 1-2); active task registration means for registering an active task in an active task list (Para 38, lines 2-3); conflict detection means for referencing the active task list to detect for a task conflict when the task execution request is received by the execution request receiver means (Para 43, lines 5-9); transition state determination means for determining the state to which a task designated by the task execution request should switch and the state to which a task registered in the active task list should switch in accordance with predetermined conditions when a task conflict is detected by the conflict detection means (Para 43, lines 5-9); and state transition means for respectively placing the task designated by the task execution request and the task registered in the active task list in the states determined by the transition state determination means (Para 44, lines 1-5).

With respects to claim 17, Shitahaku teaches. the electronic apparatus according to claim 16, further comprising: a conflict condition table that stores states to which conflicting tasks should switch, wherein when a task conflict is detected by the conflict detection means, the transition state determination means references the conflict condition table (see figures 6, 7 & 12), and determines the state to which a task

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designated by the task execution request should switch and the state to which a task registered in the active task list should switch (see figures 5 & 9).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 3-5, 8-10, 13-15, 18-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shitahaku US 2002/0037753 in view of Parkin (Parkin) US Patent 4073005.

With respects to claim 3, Shitahaku teaches the computer-readable conflict management program according to claim 2; However it is silent to the fact of registering a task in an execution list that is within the active list and registering a task to wait to be executed within the execution list.

However Parkin teaches wherein the active task registration step comprises: registering the task to be executed in an execution list within the active task list (see figure 5, part 502); and registering the task that should wait for execution in an execution wait list within the active task list (see figure 5, part 502; It is understood that once the task status is set to ready it is waiting to be executed).

It would have been obvious to a person of ordinary skill in the art at the time of the invention was made to incorporate the teachings of Parkin into the methods of

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Shitahaku to register a task to be executed within the active list as well as register the next task to be executed after the completion of the current task. The modification would have been obvious because on of ordinary skill of the art would have added this capability to not only increase the efficiency and convenience of the system but to also ensure the system is operating optimal capacity.

With respects to claim 4, Parkin teaches the computer-readable conflict management program according to claim 3, wherein the active task registration step comprises: selecting the task to be executed and the task that should wait for execution in accordance with the priorities of the states determined in the transition state determination step (Col 15, lines 29-30; Col 2, lines 54-56); registering the task to be executed in the execution list (see figure 5, part 502); and registering the task that should wait for execution in the execution wait list (see figure 5, part 502; It is understood that once the task status is set to ready it is waiting to be executed).

With respects to claim 5, Parkin teaches the computer-readable conflict management program according to claim 2, wherein the transition state determination step comprises: canceling the task execution request when it is determined that the task designated by the task execution request cannot be executed (Col 15, lines 31-34).

With respects to claim 8, Parkin teaches the storage medium according to claim 7, wherein the active task registration step comprises: registering the task to be executed in an execution list within the active task list (see figure 5, part 502); and registering the task that should wait for execution in an execution wait list within the

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active task list (see figure 5, part 502; It is understood that once the task status is set to ready it is waiting to be executed).

With respects to claim 9, Parkin teaches the storage medium according to claim 8, wherein the active task registration step comprises: teaches selecting the task to be executed and the task that should wait for execution in accordance with the priorities of the states determined in the transition state determination step (Col 15, lines 29-30; Col 2, lines 54-56); registering the task to be executed in the execution list (see figure 5, part 502); and registering the task that should wait for execution in the execution wait list (see figure 5, part 502; It is understood that once the task status is set to ready it is waiting to be executed).

With respects to claim 10, Parkin teaches the storage medium according to claim 7, Parkin teaches wherein the active task registration step comprises canceling the task execution request when it is determined that the task designated by the task execution request cannot be executed (Col 15, lines 31-34).

With respects to claim 13, Parkin teaches the conflict management method according to claim 12, wherein the active task registration step comprises: registering the task to be executed in an execution list within the active task list (see figure 5, part 502); and registering the task that should wait for execution in an execution wait list within the active task list (see figure 5, part 502; It is understood that once the task status is set to ready it is waiting to be executed).

With respects to claim 14, Parkin teaches the conflict management method according to claim 13, wherein the active task registration step comprises: selecting the task to be executed and the task that should wait for execution in accordance with the priorities of the states determined in the transition state determination step (Col 15, lines 29-30; Col 2, lines 54-56); registering the task to be executed in the execution list (see figure 5, part 502); and registering the task that should wait for execution in the execution wait list (see figure 5, part 502; It is understood that once the task status is set to ready it is waiting to be executed).

With respects to claim 15, Parkin teaches the conflict management method according to claim 12, wherein the transition state determination step comprises: canceling the task execution request when it is determined that the task designated by the task execution request cannot be executed (Col 15, lines 31-34).

With respects to claim 18, Parkin teaches the electronic apparatus according to claim 17, wherein the active task registration means registers the task to be executed in an execution list within the active task list (see figure 5, part 502) and registers the task that should wait for execution in an execution wait list within the active task list (see figure 5, part 502; It is understood that once the task status is set to ready it is waiting to be executed).

With respects to claim 19, Parkin teaches the electronic apparatus according to claim 18, wherein the active task registration means selects the task to be executed and the task that should wait for execution in accordance with the priorities of the states

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determined by the transition state determination means, registers the task to be executed in the execution list (see figure 5, part 502), and registers the task that should wait for execution in the execution wait list (see figure 5, part 502; It is understood that once the task status is set to ready it is waiting to be executed).

With respects to claim 20, Shitahaku teaches the electronic apparatus according to claim 17, wherein the transition state determination means cancels the task execution request when it is determined that the task designated by the task execution request cannot be executed (Col 15, lines 31-34).

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Kamada et al. (US Patent 6108683 and 6668269), Culbert (US Patent 5838968) and Kalhour and MorteZ (US Publication 2002/0007408) teach on conflict resolution and task allocation based on priorities.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DOUGLAS BRYANT whose telephone number is (571)270-7707. The examiner can normally be reached on M-F 8:00-5:00pm Est.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David L. Robertson can be reached on 571-272-4186. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/D. B./
Examiner, Art Unit 4123

/David L. Robertson/
Supervisory Patent Examiner
Art Unit 4123